DT02 Rec'd PCT/PT0

2 3 DEC 2004

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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			Application	Number	10/031841-Conf. #5745
TRANSMITTAL		Filing Date		January 22, 2002	
FORM		First Name	d Inventor	Douglas FRASER	
(to be used	d for all correspondence after	initial filing)	Art Unit		N/A
(10.00.000		g ,	Examiner N	lame	Not Yet Assigned
Total Number	of Pages in This Submiss	ion	Attorney Do	cket Numbe	KNI-004CPUS
	EN	CLOSURES	(Check all	that appl	(y)
Fee Transm	nittal Form	Drawing(s)			After Allowance Communication to TC
Fee A	ttached ·	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences
Amendment	/Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After F	Final	Petition to Convert to a Provisional Application			Proprietary Information
Affida	vits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address Status Letter		Status Letter	
Extension of	f Time Request				Other Enclosure(s) (please Identify below):
Express Abandonment Request Request for F		r Refund		Copy of Notification of Defective Response (5 pages)	
Information Disclosure Statement CD, Number		er of CD(s)		Statement to Support Filing and Submission in Accordance with 37	
Certified Copy of Priority				C.F.R. §§ 1.821-1.825 and paper copy of sequence listing (11 pages) Second Preliminary Amendment (25	
Landscape Table on CD pages)					
Reply to Mis		Remarks			`
Reply to Missing Parts under 37 CFR 1.52 or 1.53					
∟ 37 CF	R 1.52 or 1.53		ž ;		
· · · ·	SIGNATU	RE OF APPLIC	ANT, ATTO	RNEY, OR	AGENT
Firm Name	LAHIVE & COCKFIE	LD, LĻP			
Signature	Coass	$\sqrt{}$			
Printed name	Elizabeth A. Hanley,	⊭ sq			
Date	December 23, 2004	\cup		Reg. No.	33,505

			as Express Mail, Airbill No. EL 981 584 692 Alexandria, VA 22313-1450, on the date
shown below.		\mathcal{M} . /	
Dated: December 23, 2004	Signature:	Car	(Elizabeth A. Hanley)

Application No. (if known): 10/031841

Attorney Docket No.: KNI-004CPUS

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EL 981 584 692 US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on	December 23, 2004	
	Date	

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33,505	(617) 227-7400
Registration Number, if applicable	Telephone Number

Note:

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Statement to Support Filing and Submission in Accordance With 37 C.F.R. §§ 1.821-1.825 with sequence listing (12 pages)

Sequence Listing Diskette







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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/031,841

Douglas Clark Fraser

KNI-004CPUS

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

PRIORITY DATE

07/20/2000

07/22/1999

Elizabeth A. Hanley Lahive & Cockfield 28 State Street Boston, MA 02109

CONFIRMATION NO. 5745 371 FORMALITIES LETTER

OC000000014422517*

Date Mailed: 11/23/2004

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 01/22/2002
- Copy of the International Search Report filed on 01/22/2002
- Copy of IPE Report filed on 01/22/2002
- Preliminary Amendments filed on 01/22/2002
- Biochemical Sequence Diskette filed on 05/28/2003
- Oath or Declaration filed on 01/22/2002
- Biochemical Sequence Listing filed on 01/22/2002
- Copy of references cited in ISR filed on 01/22/2002
- U.S. Basic National Fees filed on 01/22/2002
- Priority Documents filed on 01/22/2002

Applicant's response filed 05/28/2003 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/29/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - PLEASE SEE RAW SEQUENCE LISTING ERROR REPORT.
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an





amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

•		
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,841	PCT/EP00/06921	KNI-004CPUS

FORM PCT/DO/EO/916 (371 Formalities Notice)

BIOTECHNOLOGY

form;

SYSTEMS BRANCH RAW SEQUENCE LISTING

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable

Application Serial Number:	10/03/841
Source:	PST/10
Date Processed by STIC:	3/4/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER: 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE

APPLICANT, WITH A NOTICE TO COMPLY or, TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221

Effective 12/13/03: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 2023? zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/cbc/efs/downloads/documents.htm>, EFS Submission User Manual - cPAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry directly to (EFFECTIVE 12/01/03): U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03



PCT

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/031,841

DATE: 03/04/2004

TIME: 08:43:32

Input Set : A:\PTO.YF.txt

Output Set: N:\CRF4\03042004\J031841.raw

3 <110> APPLICANT: Fraser, Douglas

St. Gallay, Steven

6 <120> TITLE OF INVENTION: Human Homologue of Bovine Neuroendocrinc Secretory Protein,

NESP55,

Polynucleotides and Uses Thereof Linked With Obcsity

9 <130> FILE REFERENCE: KNI-004CPUS

11 <140> CURRENT APPLICATION NUMBER: 10/031,841

12 <141> CURRENT FILING DATE: 2002-01-22

14 <150> PRIOR APPLICATION NUMBER: PCT/EP00/06921

15 <151> PRIOR FILING DATE: 2002-07-20

17 <150> PRIOR APPLICATION NUMBER: GB 9917165.4

18 <151> PRIOR FILING DATE: 1999-07-22

20 <160> NUMBER OF SEQ ID NOS: 14

22 <170> SOFTWARE: FastSEQ for Windows Version 4.0

ERRORED SEQUENCES

174 <210> SEQ ID NO: 4 175 <211> LENGTH: 7 176 <212> TYPE: PRT 8 Shown below

177 <213> ORGANISM: Bovine Sp.

179 <400> SEQUENCE: 4

180 Gly Ala Ile Pro Ile Arg Arg His

E--> 181 1

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/031,841

DATE: 03/04/2004 TIME: 08:43:33

Input Set : A:\PTO.YF.txt

Output Set: N:\CRF4\03042004\J031841.raw

L:181 M:252 E: No. of Seq. differs, <211> LENGTH:Input:7 Found:8 SEQ:4 L:261 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0

M:341 Repeated in SeqNo=11

L:288 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:0

M:341 Repeated in SeqNo=12